

Department of Children, Youth and Families

Staff Presentation to the House Finance Committee
FY 2021 Revised & FY 2022 Budgets
April 28, 2021

Executive Office of Health and Human Services

- DCYF is 1 of 4 health and human service agencies under the umbrella of EOHHS
 - Along with BHDDH/DHS/DOH
- Governor appoints the directors of the 4 agencies under the EOHHS umbrella
- EOHHS is responsible for managing and providing strategic leadership and direction to the 4 departments
 - Centralized financial management & legal

Executive Office of Health and Human Services

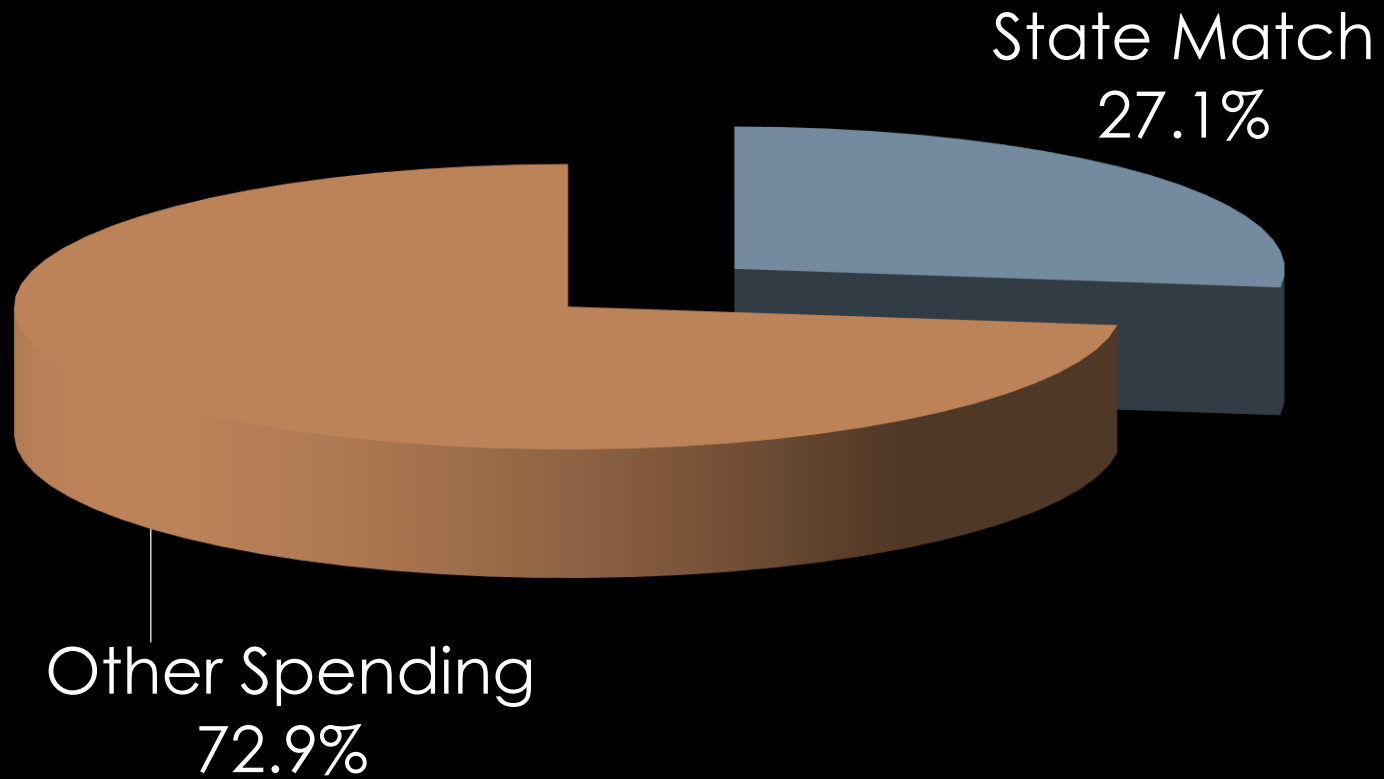
- Intended to improve the efficiency and coordination of health and human services policy, planning, budgeting and financing functions
- State Medicaid Agency
 - Medicaid expenses appear in 4 agencies
 - DCYF
 - Non-medical services for children
 - Residential and community based services

Governor's FY 2022 Budget Medicaid by Department

Department	General Revenues	All Funds	% of Medicaid
EOHHS	\$1,015.5	\$2,987.7	88.5%
BHDDH	128.8	293.0	8.7%
DCYF	27.6	66.6	2.0%
Human Services	10.8	23.9	0.7%
Health	1.0	3.8	0.1%
Medicaid Total	\$1,183.7	\$3,374.9	100%
Total State Budget	\$4,371.3	\$11,170.5	
Medicaid % of Total	27.1%	30.2%	

\$ in millions

Medicaid % of FY 2022 Budget - General Revenues



COVID - FMAP Rates

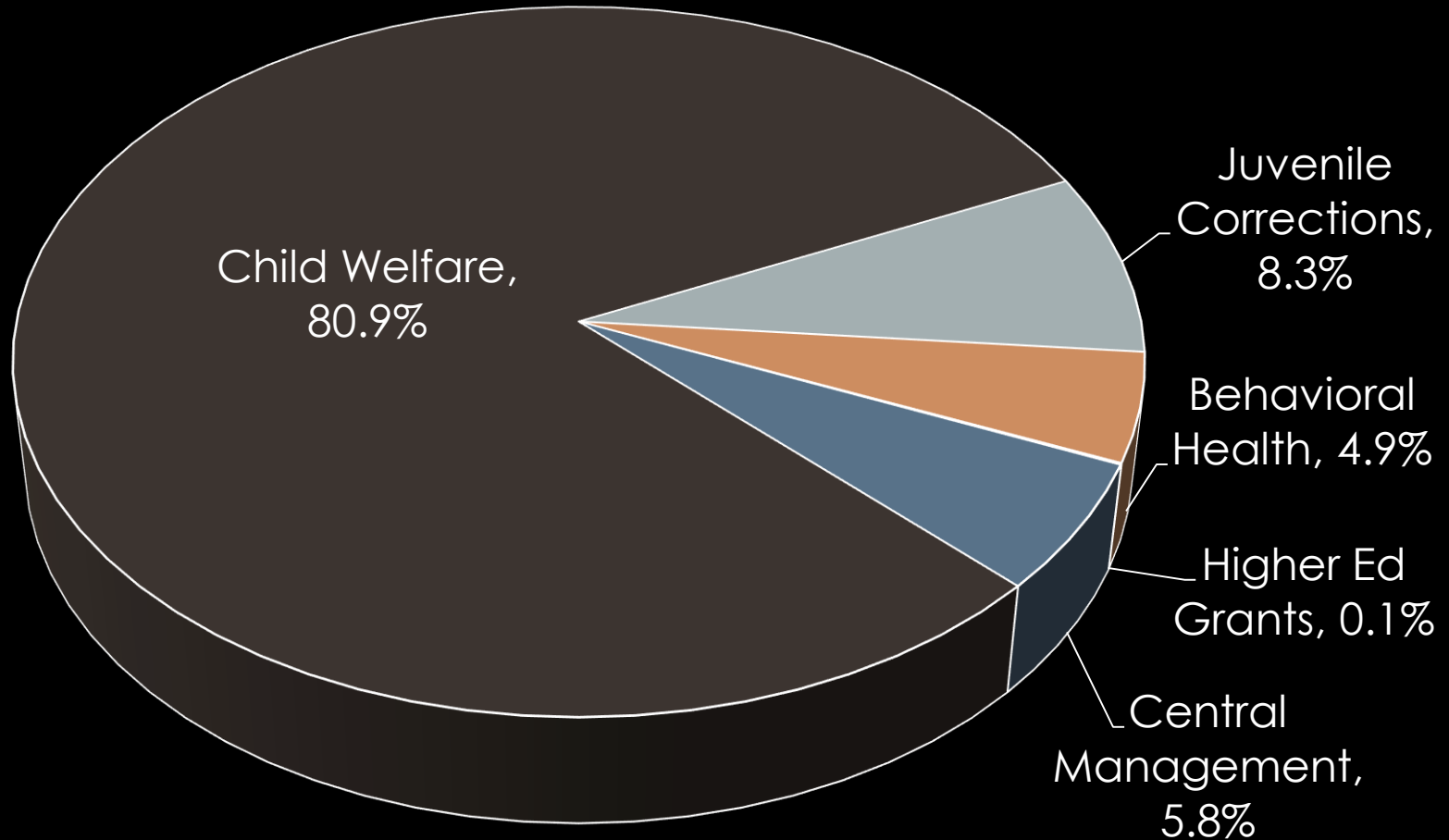
- Enhanced Medicaid (FMAP) Rate
 - Temporary increase to federal share of direct Medicaid expenses – 6.2%
 - Quarter occurring during public health emergency
 - RI – increase from 52.95% to 59.15%
 - FY 2021 – \$1.3 million in savings
 - Adds Q4 to enacted budget based on extension of the emergency
 - FY 2022 - \$2.5 million in savings
 - For first half of FY 2022 – based on Biden Administration guidance

Summary By Program

	FY 2021 Enacted	FY 2021 Gov. Rev.	Chg.	FY 2022 Gov.	Chg.
Child Welfare	\$207.0	\$208.3	\$1.3	\$213.9	\$6.9
Behavioral Health	12.3	12.3	(0.0)	13.1	0.8
Juvenile Corrections	22.4	22.3	(0.1)	21.9	(0.5)
Administration	12.8	12.8	0.0	15.5	2.7
Higher Ed. Grants	0.2	0.2	-	0.2	-
Total	\$254.7	\$255.9	\$1.2	\$264.5	\$9.8

\$ in millions

FY 2022 Recommended by Program



Summary By Source

	FY 2021 Enacted	FY 2021 Gov. Rev.	Chg.	FY 2022 Gov.	Chg.
General Revenues	\$170.9	\$169.1	\$(1.8)	\$183.4	\$12.6
Federal Funds	80.6	83.2	2.6	79.4	(1.2)
Restricted Receipts	2.1	2.5	0.4	1.5	(0.6)
Other	1.2	1.2	-	0.3	(0.9)
Total	\$254.7	\$255.9	\$1.2	\$264.5	\$9.8

\$ in millions

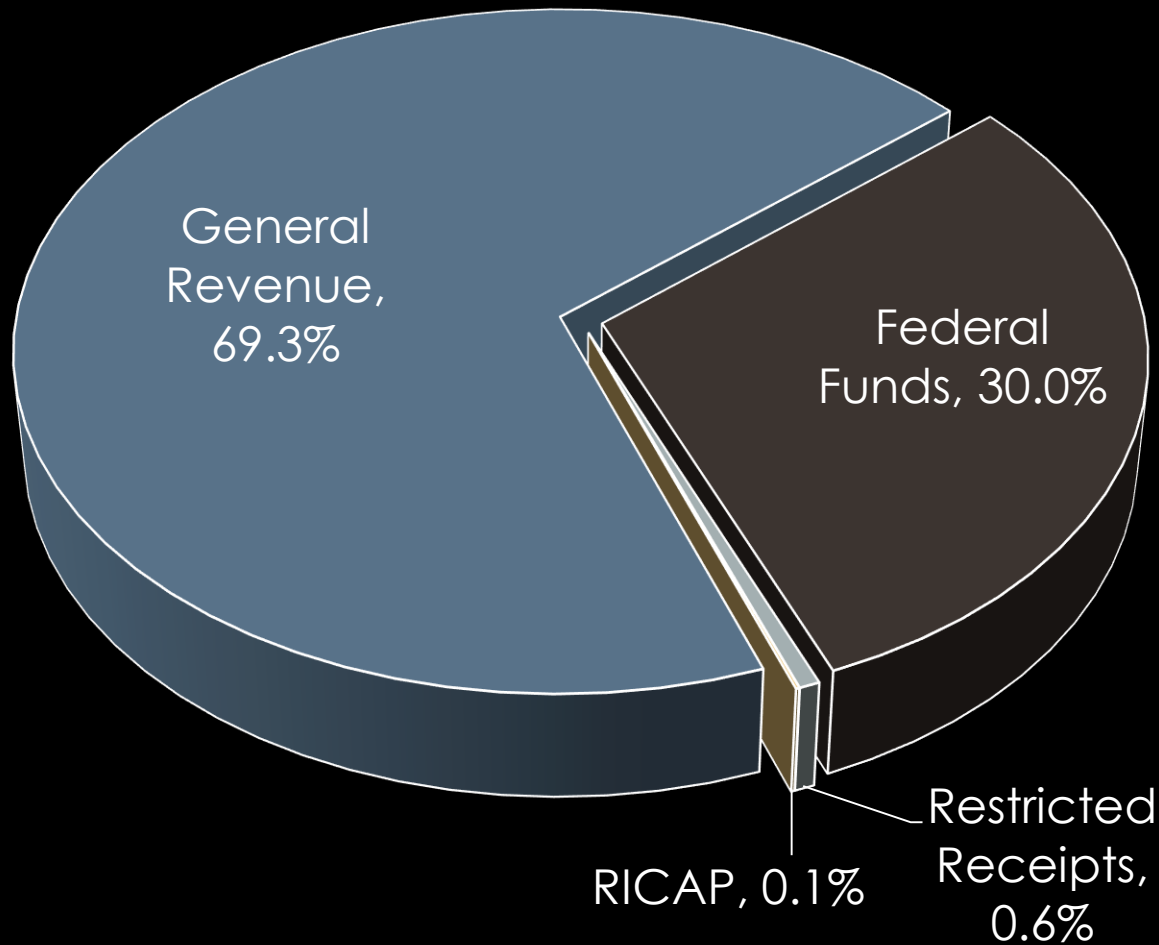
FY 2021 Revised

	FY 2021 Enacted	FY 2021 Gov. Rev.	Chg.
General Revenues	\$170.9	\$169.1	\$(1.8)
Federal Funds	80.6	83.2	2.6
Restricted Receipts	2.1	2.5	0.4
Other Funds	1.2	1.2	-
Total	\$254.7	\$255.9	\$1.2

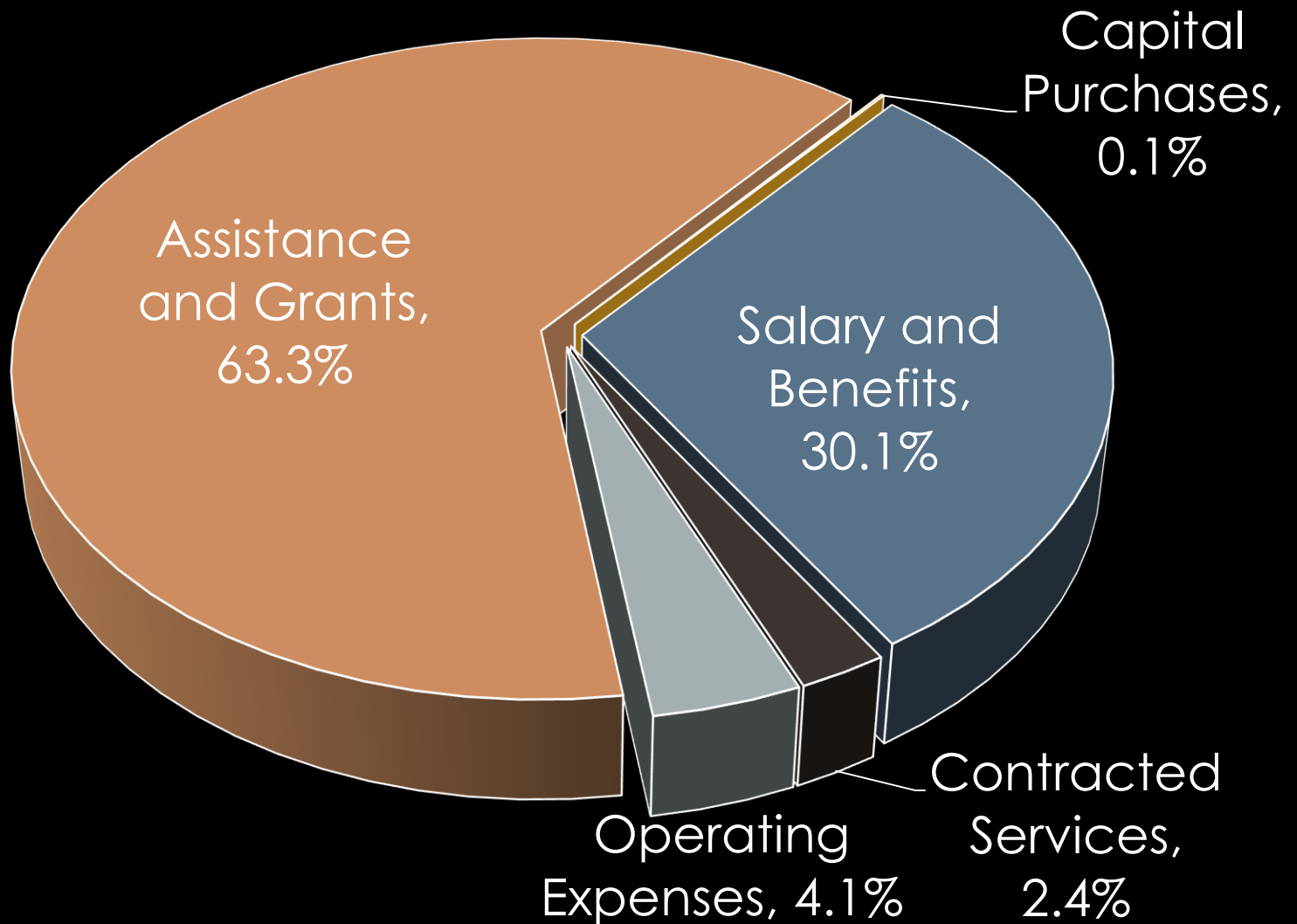
\$ in millions

- General revenue changes
 - COVID foster care requirement to keep kids that would have aged out - \$0.5 million
 - Personnel shifts to CRF, assessed fringe benefit rates

FY 2022 Recommended by Source



FY 2022 Recommended by Category



DCYF Recent Issues Timeline

March 2017	New DCYF Director confirmed
January 2018	Children's Rights Settlement Announced
September 2019	Director Resigns
September 2019	Alvarez & Marsal contract begins
August 2019	Department announces 23 new frontline positions
March 2020	Pandemic – hiring freeze
December 2020	Q2 includes intent to hire 14 new frontline staff in March
April 2021	Acting Director still in place

Current Year: DCYF

	GR	All Funds
FY 2020 Final	\$176.8	\$254.9
FY 2020 Spent	\$170.7	\$252.1
Rev. Request	\$182.4	\$258.0
Q1 Report	\$176.0	\$245.9
FY 2021 Enacted	\$170.9	\$254.7
Q2 Report	\$169.0	\$246.3
Gov. Revised	\$169.1	\$255.9
Governor to Enacted	\$ (1.8)	\$ 1.2
Governor to Q2	\$ 0.0	\$ 9.6

\$ in millions

Salaries and Benefits

	Gen. Rev.	All Funds
FY 2020 Spent	\$52.2	\$73.1
FY 2021 Enacted	\$51.5	\$72.9
FY 2021 Gov. Rev.	\$50.2	\$74.3
Gov. Chg. to Enacted	\$(1.3)	\$1.4
FY 2022 Request	\$62.2	\$79.1
FY 2022 Gov. Rec.	\$61.5	\$79.5
Gov. Chg. to Enacted	\$10.0	\$6.6
Gov. Chg. To Request	\$(0.6)	\$0.4

\$ in millions

Staffing

FY 2022 Governor Recommendation		
	DCYF	Statewide
Gross Salaries (in millions)	\$45.3	\$1,153.8
Turnover (in millions)	\$(0.7)	(57.3)
Turnover %	1.5%	4.8%
Turnover FTE	9.5	749.9
FY 2022 FTE recommended	627.5	15,089.2
Funded FTE	618.0	14,364.3
Filled as of April 10	589.0	13,817.9
Funded but not filled	29.0	564.4

Staffing FY 2022

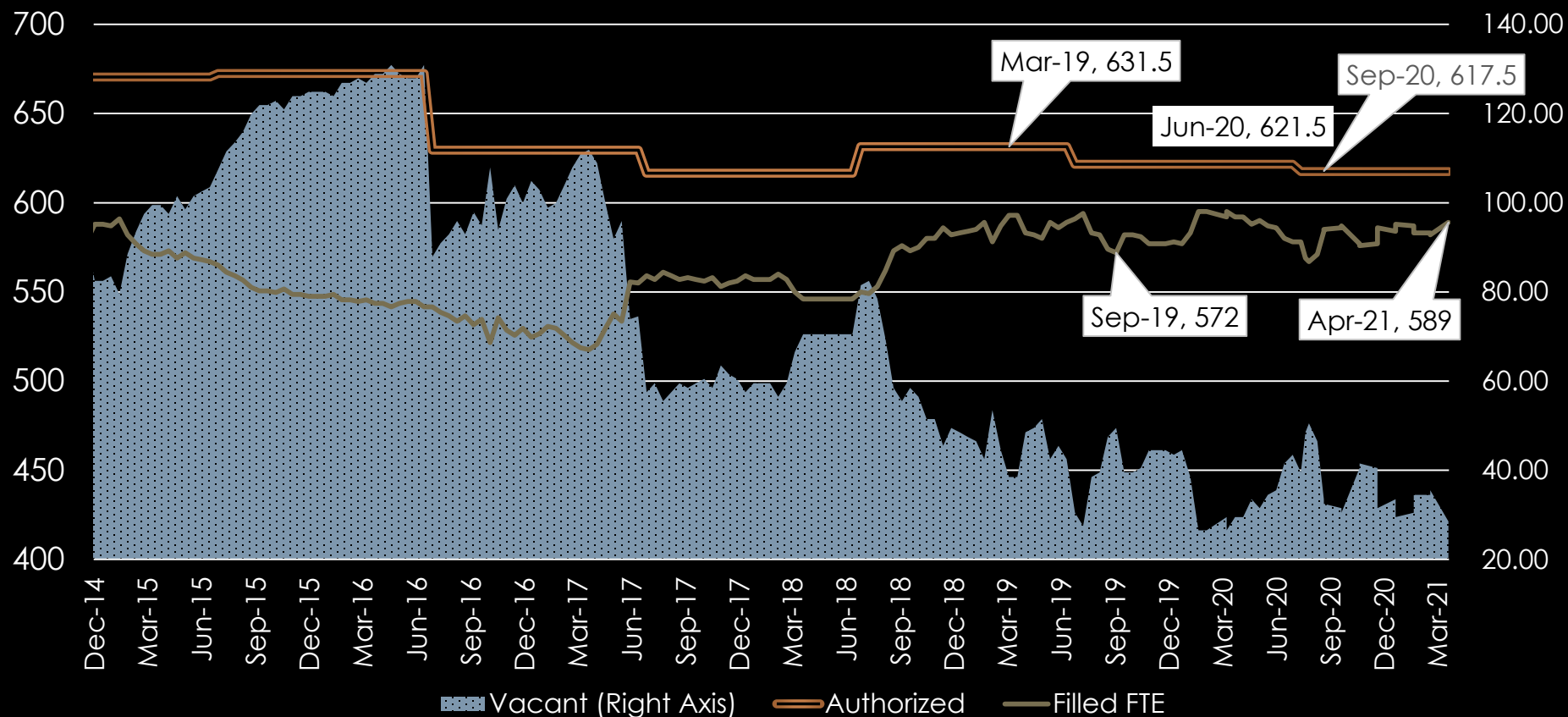
Division	FY 2021 Enacted	FY 2022 Request	Chg.	FY 2022 Gov.	Gov. to Enacted	Gov. to Req.
Central Mgmt	67.0	73.0	6.0	67.0	-	(6.0)
Children's Behavioral Health	17.0	22.0	5.0	22.0	5.0	-
Child Welfare	369.1	403.0	33.9	394.5	25.4	(8.5)
Juvenile Corrections	164.4	144.0	(20.4)	144.0	(20.4)	-
Total	617.5	642.0	24.5	627.5	10.0	(14.5)

Staffing

- FY 2022 Governor 's recommendation
 - Shifts positions within existing Department authorization
 - Lowers authorization at the training school
 - Juvenile Program Workers
 - Repurposes vacancies and adds positions for more frontline staff
 - Social workers, CPS investigators

DCYF Staffing History

Authorized vs Filled Positions



Staffing

- Gov. FY 2021 Revised - \$74.3 million
 - \$1.4 million more than enacted
 - Federal funds added for staffing isolation and quarantine housing area for youth and families
 - Zambarano Cottages
 - Additional \$1.5 million shifted CRF for eligible costs
- Through first pay period in April
 - Average of 581 employees per pay period
 - Currently 589 positions filled

Staffing

- Gov. FY 2022 - \$79.5 million
 - \$6.6 million more than enacted
 - \$10.0 million more from general revenues
 - \$3.5 million less from federal funds
 - Restores CRF funds to general revenues
 - 16.0 new frontline staff - \$1.6 million
 - \$1.1 million from general revenues
 - Increased staffing in the licensing unit
 - Recruit, train, license, sustain and support foster families
 - Assumes full year of funding
 - 2.0 Paralegal positions - \$0.1 million
 - Included in original FY 2021 Gov. Rec.

Placements & Services- Overview

Division	Populations	Services	Funding
Child Welfare	Children under DCYF direct supervision Families in need of services	<ul style="list-style-type: none"> • Foster Care • Residential <ul style="list-style-type: none"> • VEC • Adoption/ • Guardianship 	State & Federal, incl. Medicaid & Title IV-E
Children's Behavioral Health			
Juvenile Corrections	Youth adjudicated through Family Court	Training School	State & Federal

Placements & Services

Service Type	Program
Foster Care – Kinship	With relatives and close family friend
Foster Care – Non-Kinship	With DCYF licensed family
Foster Care – Private Agency/ Specialized	Family placements recruited through private agency, community partners
Adoption Assistance/Guardianship	Subsidies through age 18 or 21, depending on eligibility

Placement & Services

Definitions	Program
Residential Group Care Services	<ul style="list-style-type: none">• Group Homes• Residential treatment w/campus education (incl. special populations)• Semi-independent living
Day Care	<ul style="list-style-type: none">• Provided to foster care parents & eligible adoption assistance/guardianship families
Independent Living Programs	<ul style="list-style-type: none">• Supported apartment setting - youth living on own or with another youth

Placements & Services

Definitions	Program
Assessment & Stabilization Centers	<ul style="list-style-type: none">• Short term programs to immediately engage with youth and provide clinical care and assessment
Home & Community Based Care	<ul style="list-style-type: none">• Family Stabilization Programs• Supervised Visitation• Parent Training Programs• Foster & Kinship Care supportive services• Disruptive behavioral mgt.• Mental health treatment srv.

DCYF - FY 2020

- Q4 had growth in family-based foster care placement
 - Group-based placements decreased
 - Department indicated this trend may be temporary- pandemic related
 - Foster families agreed to temporarily house youth during coronavirus
 - Duration of placement varies by placement
 - Unclear how many foster youth are placed under these agreements

DCYF – Recent Census

FY 2021 Census	Q1	Q2	Q3	Q4*	FY 2020 Q4	FY 2020 Avg.
Foster Care	1,575	1,532	1,451	1,460	1,601	1,568
Congregate Care	381	397	388	392	406	428
Semi-Independent Living	33	37	27	33	37	48
Independent Living	42	44	47	50	41	33
Group Home	115	110	108	112	126	136
Assessment & Stabilization Centers	11	14	11	8	10	20
Psychiatric Hospital	29	36	30	20	34	26
Residential Treatment	104	105	109	108	109	114
Out-of-State	47	52	55	61	49	52

*through April 1

Placements

- Foster Care - home based placements
- Congregate Care – residential
 - Group homes, residential treatment facilities, semi-independent living

	FY 2021 Enacted		Gov. FY 2022 Governor		Change	
	GR	AF	GR	AF	GR	AF
Foster Care	\$28.9	\$33.8	\$30.6	\$36.9	\$1.8	\$3.0
Congregate Care	\$28.1	\$58.0	\$30.5	\$63.0	\$2.3	\$5.0

\$ in millions

Congregate Care

- DCYF plans to open two female psychiatric residential treatment facilities
 - \$3.5 million for FY 2022
 - Assumes opening by October 1, 2021
 - Facilities have not yet been identified
 - Open 2, 12 bed facilities for 24.0 total beds
 - Current eligible female youth housed largely at out-of-state facilities – 26 youth currently
 - Currently one facility open which lacks room to serve all female youth – serves 14 youth

Adoption and Guardianship

- Subsidies for adoption assistance, and relative & non-relative guardianships
 - Department seeks to maximize permanency

	FY 2021 Enacted		FY 2022 Governor		Change	
	<i>GR</i>	<i>AF</i>	<i>GR</i>	<i>AF</i>	<i>GR</i>	<i>AF</i>
Adoption	\$12.2	\$19.6	\$11.3	\$18.2	\$(0.9)	\$(1.4)
Guardianship	\$5.6	\$6.4	\$5.6	\$6.3	\$0.0	\$(0.1)

\$ in millions

Home and Community Based Services

- Services include
 - Counseling, education programs, family support services, & family centered treatment
 - Budget based on prior year usage

FY 2021 Enacted		FY 2022 Governor		Change	
GR	AF	GR	AF	GR	AF
\$24.2	\$35.1	\$21.8	\$35.2	\$(2.4)	\$0.2

\$ in millions

COVID Relief

- Coronavirus Relief funds - \$3.1 million
 - Foster Care - \$1.0 million
 - Stipends for child care assistance for foster youth
 - Response to child care centers being closed
 - Isolation and Intake Placements - \$2.0 million
 - Placements for youth or families who require isolated placements
 - Intake Centers, Zambarano Cottages
 - Voluntary Extension of Care - \$0.1 million
 - Governor Raimondo issued EO to continue services for youth who would otherwise age out

Placement Initiatives

<i>Change to Enacted</i>	<i>GR</i>	<i>AF</i>
Family First Prevention Services Act	\$0.3	\$0.3
Foster Care Recruitment (16.0 FTE)	(1.4)	(1.2)
Utilization Management (1.0 FTE)	0.1	0.1
Extreme Family Finding	0.0	0.0
Child Care to TANF	(2.2)	-
Total	\$(3.2)	\$(0.8)

\$ in millions

Placement Initiatives

■ Family First Prevention Act - 2018

- Starting Oct 2021, Title IV-E support only for programs designated as qualified residential treatment programs (QRTPs)
 - 24/7 nursing staff, accredited, additional assessments for youth
 - \$0.4 million from general revenues for lost funds

■ Foster Care Recruitment Savings

- Savings of \$2.8 million from 16.0 new positions recruiting licensing foster homes
 - Savings from shifting youth from congregate care to foster care

Placement Initiatives

■ Utilization Management

- New FTE to ensure proper placement
- Governor includes placement savings of \$0.1 million from youth being shifted from congregate care to lower levels of care

■ Extreme Family Finding

- Program to assist with placing youth with challenging needs or behaviors
- Include savings of \$0.1 million from 5 youth being adopted
 - Partially offset by program costs

Placement Initiatives

- Childcare to TANF
 - DCYF provides day care subsidies for children in foster care/adopted
 - Governor shifts \$2.2 million from general revenues to TANF funds
 - GBA adds \$0.6 million from TANF for increased childcare rates

Enacted		Gov. 22		Change	
GR	AF	GR	AF	GR	AF
\$3.3	\$7.0	\$1.3	\$7.2	\$(1.9)	\$0.3

\$ in millions

Education Funds

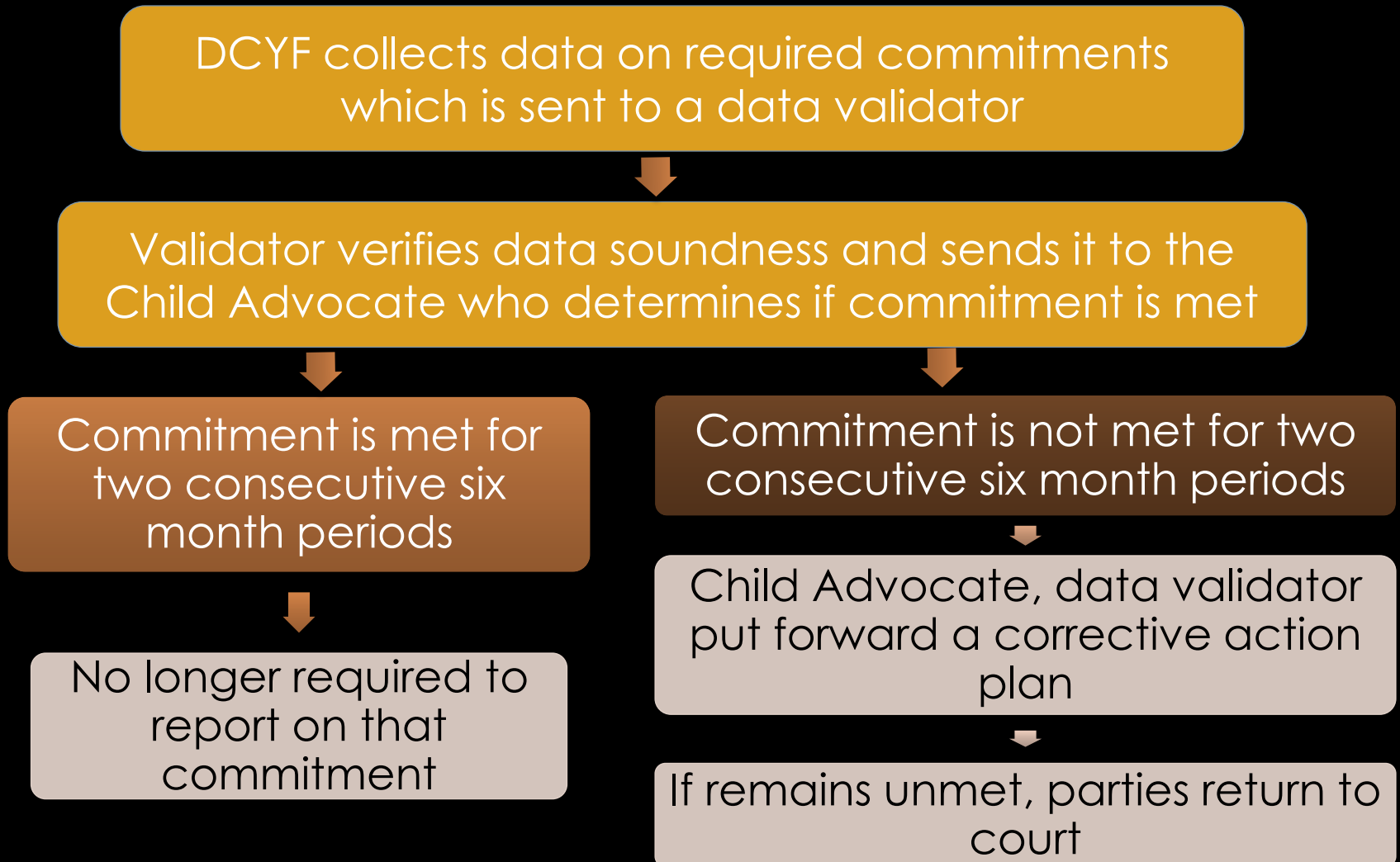
- \$0.4 million in annual education support provided to foster youth
 - \$0.2 million from GR, \$0.2 from FF
- Gov. Rev. uses surplus from previous years to cover education costs
 - Inadvertently maintains GR, FF

	Enacted	Gov. Rev.	Gov. Rec
Gen. Rev.	\$0.2	\$0.2	\$0.2
Federal Funds	0.2	0.2	0.2
Restricted Rec.	-	0.4	0.0
Total	\$0.4	\$0.8	\$0.4

Children's Rights Settlement

- 2007 – Office of the Child Advocate & Children's Rights filed a lawsuit against DCYF alleging abuse and neglect in the foster care system
- Several years of litigation followed
 - Including appeals in 2010 and 2014
- Parties agreed to mediation in 2015
 - Settlement with Children's Rights announced in January 2018
 - Approved in May 2018

Process



Commitment Achievements

Reporting Period	1	2	3	4
Commitment met?				
Section 1: Assessments				
Completed when entering care	N	N	N	N
Section 2: Assessment & Stabilization Centers				
Limited ASC Placements	Y	Y	Y	Y
Placement review every 14 days	Y	Y	Y	Y
Placement of 60+ days have Director approval	Y	Y	Y	Y
Section 3: Congregate Care				
Limited CC placements	Y	Y	Y	Y
90+ day placements get reviews every 45 days	Y	Y	Y	Y

Commitment Achievements

Reporting Period	1	2	3	4
Commitment met?				
Section 4: Siblings Placement				
Siblings placed together	N	N	N	N
Section 6: Visitation				
Monthly caseworker face-to-face visits	N	N	N	N
Quality of face-to-face visits	N	N	N	N
Frequency of sibling visitation	N	N	N	N
Frequency of parent visitation (reunifications)	N	N	N	N
Section 7: Licensing				
Non-Kinship placements must be licensed	N	N	N	N
Background Checks for kinship homes	N	N	N	N

There is no section 5

Commitment Achievements

Reporting Period	1	2	3	4
Commitment met?				
Section 7: Licensing (continued)				
Kinship applications completed in 6 months	N	N	N	N
Background checks completed in 30 days	N	N	N	N
Section 8: Visitation				
Timely screening of reports of abuse/neglect	N	N	N	N
Response within designated timeframes	N	N	N	N
Investigations completed on time	N	N	N	N
Section 10: Visitation				
Caseplans meet timeliness requirements	N	N	N	N
Adoption Assist & Child Welfare Act caseplan	N	N	N	N

There is no section 9

Children's Rights Settlement

- Workload Study
 - Child Advocate Report: Jan – June 2020
 - Failed to meet a number of requirements
 - Either did not meet the commitment or not enough caseload data to validate
 - DCYF must follow a proposed corrective action plan
 - DCYF is required to conduct a workload study in consultation with monitoring team
 - As of December, this process had not begun

Accreditation

- Assembly included \$0.5 million for FY 2020 for accreditation from the Council on Accreditation (COA)
 - Plan submitted October 1, 2020
 - Department proposed a 48 month plan with a more in-depth “self-study”
 - A more formal and complete analysis of what it would take to come into compliance
 - No cost noted in plan for self-study
 - Governor includes no funding in either year

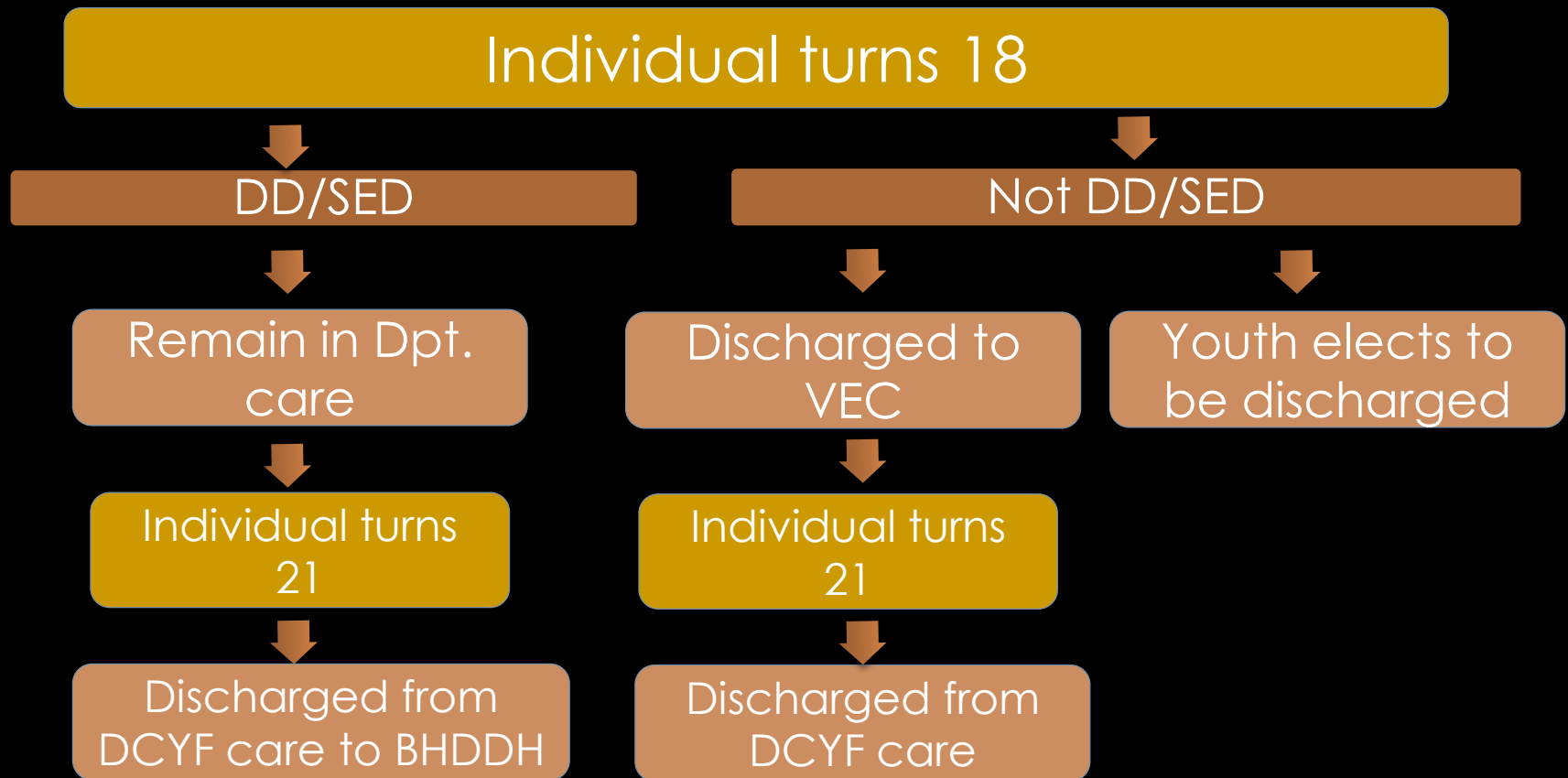
Accreditation

Process	Description	Year
Application & Agreement	Agency applies & COA reviews the agency's eligibility & generates an accreditation agreement	1
Self Study	Agency reviews its policies vs the COA standards, estimates costs to achieve them	1,3
Stakeholder Survey	Survey developed by COA to agency stakeholders	1,3
Site Visit	COA peer reviewers' on-site visit assesses implementation of prioritized standards	1,3
Commission	COA uses site feedback to make accreditation decision & shares with agency	1,3
Desk Review	Agency submits evidence of implementation of standards – subject to peer reviewer	2,4

18-21 Year Olds

- Youth remain under full DCYF care until age 18
 - Anyone not developmentally disabled or emotionally disturbed not authorized to remain in congregate care after their 18th birthday
 - May receive transitional services
 - These youth are supposed to exit into the Voluntary Extension of Care
 - It appears many continue in traditional placements

18-21 Process



Voluntary Extension of Care

- Enacted budget - \$0.8 million
 - \$0.7 million from general revenues
 - Through the end of April, 106 participants
- Governor recommends \$1.0 million for FY 2022
 - \$0.9 million from general revenues
- VEC services are not for those 18 and older who stay on caseload under statutory exception

Juvenile Corrections

- Rhode Island Training School for Youth
 - One highly structured, secure facility for youth placed by order of Family Court on a finding of waywardness or delinquency
 - Consolidated from two facilities in FY 2018
 - 24 hour/365 day residential school providing housing, food services, clothing, medical care, education and assessments

Training School Population	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
Average	86	75	59	54	40

Juvenile Corrections

- Juvenile Probation
 - Supervises adolescents placed on probation by Family Court
 - April 1, 2010 – Population of 201

Probation Population	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021*
Average	482	385	365	322	245

*As of April 28

Juvenile Corrections

	FY 2019 Actual	FY 2020 Actual	FY 2021 Gov. Rev.	FY 2022 Gov. Rec.
Institutional Support	\$1.0	\$1.0	\$0.9	\$1.0
Educational Services	0.3	0.2	0.3	0.2
Probation and Parole	0.3	0.3	0.4	0.3
Total	\$1.6	\$1.5	\$1.5	\$1.5

\$ in millions

Other Operations

- Department HQ - 101 Friendship St.
 - Staff transitioned to remote work during COVID emergency
 - DCYF plans to have some staff move to the facility from DHS and “sublease” costs
 - No savings to the state – Savings of \$0.5 million in DCYF
- Cost Allocation Plan Consulting
 - Annually determines what portion of salaries are eligible for federal match
 - \$0.8 million from federal funds
 - Consistent with the enacted budget

Vehicles Fleet Modernization

- Department has 104 vehicles
 - 84 state-owned, 20 leased vehicles
 - Leased under emergency procurement after vehicles vandalized during June 2020 protests
 - FY 2022 - \$0.2 million
 - Extends lease for 20 vehicles
 - Governor also includes \$1.2 million over FY 2022 and FY 2023 from State Fleet Revolving Fund
 - 30 electric vehicles to replace current high-mileage cars and minivans

DCYF Capital Budget

Project	Status	Cost	Financing	End Date
DCYF Fleet	New	\$1.2 million	State Fleet Replacement Fund	FY 2023
Training School Asset Protection	Revised	\$250K annually	RICAP	Annual
Training School Generators	Ongoing	\$0.8 million	RICAP	FY 2021
Training School Redesign	New	\$3.5 million	RICAP	FY 2024

Rhode Island Training School Redesign

- \$1.8 million annually in FY 2023 & FY 2024 to begin renovations for phase one of redesign of the Training School
 - Three phases, but plan is not finalized
 - Phase 1: Construction of intake center
 - Phase 2: Close female module & move youth to residential facility; convert module to psychiatric residential treatment facility
 - Phase 3: Not finalized

Article 4, Section 6 - DCYF Child Welfare Info System

- Rhode Island Children's Information System (RICHIST) - 1997
 - Central registry system for children
 - Personal and familial characteristics
 - Legal status and court activity
 - Placement and living arrangements
 - Service plans and case assignments
 - Financial System
 - Federal funding including eligibility information
 - Tracks vendor payments

Article 4, Section 6- DCYF Child Welfare Info System

- Article identifies reasons for upgrades
 - Old system lacks
 - Mobile access from field
 - Access to real-time info
 - Configurability
 - New System would
 - Provide advanced analytics and data
 - Allow quicker responses to changes in policy
- Department just finished demonstrations of new systems by potential vendors

Article 4, Section 6 - DCYF Child Welfare Info System

- Authorizes borrowing \$17.0 million
 - Describes \$28.0 million project
 - Identifies \$11.0 million from federal funds
 - Funding assumed over FY 2022 – FY 2024
 - \$3.3 million, \$16.5 million, \$8.2 million respectively
 - Total cost of \$19.7 million from general revenues
 - Annual debt service of \$2.0 million
 - Assumes 10 years and 2.75% interest

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